# Candidate Genetic Pathways for Attention-Deficit/Hyperactivity Disorder (ADHD) Show Association to Hyperactive/Impulsive Symptoms in Children with ADHD

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Objective: Because multiple genes with small effect sizes are assumed to play a role in attentiondeficit/hyperactivity disorder (ADHD) etiology, considering multiple variants within the same analysis likely increases the total explained phenotypic variance, thereby boosting the power of genetic studies. This study investigated whether pathway-based analysis could bring scientists closer to unravelling the biology of ADHD. Method: The pathway was described as a predefined gene selection based on a well-established database or literature data. Common genetic variants in pathways involved in dopamine/norepinephrine and serotonin neurotransmission and genes involved in neuritic outgrowth were investigated in cases from the International Multicentre ADHD Genetics (IMAGE) study. Multivariable analysis was performed to combine the effects of single genetic variants within the pathway genes. Phenotypes were DSM-IV symptom counts for inattention and hyperactivity/impulsivity (n = 871) and symptom severity measured with the Conners Parent (n = 930) and Teacher (n = 916) Rating Scales. Results: Summing genetic effects of common genetic variants within the pathways showed a significant association with hyperactive/impulsive symptoms (p  $_{empirical}$  = .007) but not with inattentive symptoms (p  $_{empirical}$  = .73). Analysis of parent-rated Conners hyperactive/impulsive symptom scores validated this result (p empirical = .0018). Teacher-rated Conners scores were not associated. Post hoc analyses showed a significant contribution of all pathways to the hyperactive/impulsive symptom domain (dopamine/norepinephrine, p empirical = .0004; serotonin,  $p_{empirical} = .0149$ ; neuritic outgrowth,  $p_{empirical} = .0452$ ). **Conclusion:** The present analysis shows an association between common variants in 3 genetic pathways and the hyperactive/impulsive component of ADHD. This study demonstrates that pathway-based association analyses, using quantitative measurements of ADHD symptom domains, can increase the power of genetic analyses to identify biological risk factors involved in this disorder.

Key Words: attention-deficit/hyperactivity disorder symptoms, genetic pathways, neuritic outgrowth, neurotransmitter systems

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# Introduction

Attention-deficit/hyperactivity disorder (ADHD) is a common neuropsychiatric disorder characterized by developmentally inappropriate inattentiveness increased impulsivity and hyperactivity1. Although ADHD is highly heritable, with estimates around heritability discovering genetic risk variants has been challenging. A number of candidate genes have been associated, but altogether explain only a small part of the heritability<sup>3</sup>, and so genome-wide association (GWASs) have not yielded genome-wide significant findings4.

The difficulty in discovering genetic risk variants has been attributed to the fact that ADHD is clinically heterogeneous<sup>5</sup>. Factor analyses of ADHD symptoms demonstrate that ADHD is indeed multidimensional, with studies of teacher and parent ratings supporting a two-factor structure in children6 separating inattention hyperactivity/impulsivity. The Diagnostic and Statistical Manual of Mental Disorders (DSM-IV) diagnostic criteria make subclassifications, distinguishing an inattentive clinical subtype (predominantly inattentive symptoms), a hyperactive/impulsive subtype (predominantly hyperactive/ impulsive symptoms) and a combined type ADHD (both inattentive symptoms as well as hyperactive/impulsive symptoms)1. The two symptom domains of ADHD can be attributed, in part, to different brain networks7 and twin studies show partial genetic overlap between inattentive and hyperactive/impulsive symptoms, but also clear genetic specificity8. For these reasons, studying the genetics of symptom domains separately might reduce phenotypic heterogeneity, increase the power of genetic studies, and enable us identify to dimension-specific genetic risk variants.

Apart from the multidimensionality, additional challenges in discovering genetic risk variants in ADHD are the small effect sizes of single common genetic variants and different genetic variants leading to similar phenotypes9. As genome-wide genetic analyses aimed at identifying common risk variants, mainly focused on investigating Single Nucleotide Polymorphisms (SNPs)10-<sup>12</sup> association, extremely large samples are needed to achieve genome-wide significance<sup>4,13</sup> and sample sizes in ADHD research are still small compared to other disorders<sup>13</sup>. A recent study performing cross-disorder GWAS using data from the Psychiatric Genomics Consortium of cases and controls for schizophrenia, bipolar disorder, major depressive disorder, autism spectrum disorders and ADHD, showed a significant polygenic component for ADHD, suggesting that searching for a combination of genetic variants might be fruitful<sup>14</sup>. Considering the combined effect of multiple variants in the same analysis might increase the explained phenotypic variance<sup>15</sup>. thereby boosting the power of genetic studies. Therefore, we investigated whether pathway-based analyses considering multiple SNPs within the same biological process, could bring us closer to unraveling the underlying genetic component of ADHD.

Alterations in dopaminergic, noradrenergic and serotonergic neurotransmission have been hypothesized to play a role in ADHD16, <sup>17</sup>. Medications used to treat ADHD affect these systems18, 19 and reduce behavioral symptoms<sup>20, 21</sup>. Although serotonergic medications are not efficacious for ADHD22, serotonin interacts with dopamine<sup>23</sup>, therefore medication working on the dopamine system might also alter serotonin signaling. In addition, projection sites of these neurotransmitter systems regulate cognitive processes, attention and motor behavior in ADHD, supported by structural and functional imaging data<sup>24-26</sup>. Moreover, achieving genome-wide although not significance, genetic associations have been found for several candidate genes within these systems3. Further, animal studies show gene knock-out of catecholaminergic genes to cause ADHD-like behavior, altered catecholamine release and symptom reduction response to **ADHD** medication<sup>27</sup>. Another biological process implicated in ADHD, mainly through genetic studies, is neuritic outgrowth9. Genes involved in this process were found to be enriched in the top results of the five available GWASs of ADHD28.

Prior studies investigated whether SNPs in the top results of individual analysis were overrepresented in predetermined gene/ pathways lists, or if those genes formed a network of functionally interacting proteins<sup>29</sup>. Others have selected variants based candidate genes/pathways literature<sup>30</sup>. However, so far no studies have conducted a combined analysis of candidate genetic pathways allowing investigating if certain genetic pathways together are associated with disorder-specific phenotypes.

The present study used a case-only design to investigate whether pathway-based analyses of dopamine, noradrenaline and serotonin neurotransmission and genes involved in neuritic outgrowth moderate the underlying behavioral components and severity of ADHD. Common genetic variants within these pathways were included into the same analysis.

# Method

# Sample

The present study is part of the International Multicenter ADHD Genetics (IMAGE) study<sup>31-33</sup>, an international

collaborative study in 7 European countries Germany, (Belgium, Ireland, Spain, Switzerland, the Netherlands and the United Kingdom) and Israel aiming at identifying genes that increase ADHD susceptibility. Participants were aged 5–17 years and of European Caucasian descent, based on information on ethnicity and genetic data 34 (see Supplementary Figure S1). Exclusion criteria included IQ < 70, presence of autism, epilepsy. known neurological disorders and any genetic or medical disorder associated with externalizing behaviors that might mimic ADHD. Details of the sample have been described elsewhere<sup>31</sup>,<sup>35</sup>.

# **ADHD** phenotyping

In short, a semi-structured, standardized, investigator-based interview (Parental Account of Children's symptoms36) and questionnaires (parent and teacher Conners long version rating scales37, parent and teacher Strengths and Difficulties Questionnaires 38) were used to establish an ADHD diagnosis in children previously clinically diagnosed with ADHD, see<sup>39</sup> for the standardized algorithm that was applied to derive each of the 18 DSM-IV<sup>1</sup> symptoms. Symptom count was defined by the number of symptoms per behavioral domain. The 2 symptom domains ranged from symptoms. To investigate symptom severity a 4-point scale was used from the inattention and hyperactivity/ impulsivity subscales of the Conners Parent Rating Scale<sup>40</sup> and the Conners Teacher Rating Scale<sup>41</sup>.

# Genotyping

Genome-wide genotyping of the IMAGE probands was performed as part of the Genetic Association Information Network study using the Perlegen genotyping platform, described previously<sup>42</sup>. To

increase coverage, an imputation approach was used with the HapMap II release 22 data set<sup>43</sup>. The imputed data underwent quality control in which SNPs with an imputation score < 0.3 and minor allele frequency < 0.05 were excluded. After this step there were 2,182,904 SNPs across the genome. To avoid overestimation of our statistics, linkage disequilibrium-pruned genotypes were used, using the "indep" command with an r² threshold of 0.8 (PLINK software<sup>44</sup>). After this step there were 299,296 SNPs.

In this work we describe pathway as a predefined gene selection based on a wellestablished genetic database or literature data. Selection of dopamine (74 genes) and serotonin (32 genes) genetic pathways was based on Ingenuity Pathway Analysis software (www.ingenuity.com). This is a well-established, frequently updated genetic database for pathway analysis. information used in Ingenuity to produce these pathways is extracted from the scientific literature, and includes genes, drugs, biomarkers, chemicals, cellular and disease processes, and signaling and metabolic pathways. For noradrenaline, only the receptors are defined in Ingenuity, no pathway has been defined yet. Noradrenaline and dopamine share most of their synthesis-pathway as noradrenaline arises from the hydroxylation dopamine<sup>45</sup>. Promiscuity has been found for transporters<sup>46</sup> and receptors<sup>47,48</sup> of both noradrenalin and dopamine, probably due to the similarities in their chemical structure. By including the noradrenaline receptors (8 genes) and transporter with the dopamine pathway, we aimed at capturing the noradrenaline pathway as well. The dopamine/noradrenaline pathway contained 82 genes. Dopamine/noradrenaline and serotonin pathways overlapped in 13 genes. The selection of the neuritic outgrowth genes was based on literature<sup>28</sup>, including 45 genes from the top results of the five GWAS studies available on ADHD.

SNPs within all genes as well as 25 kbp flanking regions (capturing regulatory sequences) were selected.

# **Data Analysis**

Association analysis to symptom counts was performed separately for hyperactive/impulsive symptoms and inattentive symptoms. Symptom count distribution was normalized and standardized using the Blom transformation (SPSS 18; SPSS Inc., Chicago, IL).

SNP-by-SNP linear regression was performed using the "linear" command in PLINK with sex and age as covariates. To decrease genetic heterogeneity, a combined analysis approach was applied using a multivariable approach described previously<sup>15</sup>. By summing single SNP association statistics, the observed summed statistic was created. To get a distribution on permuted summed statistics 10,000 max (T) permutation tests were run using the "mperm" command, implemented in PLINK, for each SNP. The association statistics of the observed and permuted data were saved the "mperm-save-all" command and added to create a summed statistic per run for all SNPs at the same time. The empirical p-value was determined as the number of times the observed summed statistic was smaller than the permuted summed statistic divided by the total number of permutations. Significance threshold for the empirical p-values was set as 0.05 divided by the number of tests.

This analysis was carried out in 2 steps. In step 1, we analyzed the combined effect of the SNPs in the 3 pathways on inattentive and hyperactive/impulsive symptom counts

were analyzed. Then the association of the combined pathways was tested with symptom severity using parental and teacher Conners scores. Post-hoc, potential effects of single pathways, genes and SNPs that might drive the association were investigated (Figure 1). Overlapping genes were only considered once in the combined analysis, but present in the 2 separate analyses. For gene-wide association the analysis program VEGAS<sup>49</sup> was used. VEGAS uses single SNP p-values to perform gene-based association tests. The significance threshold was set to 0.05 divided by the number of genes tested.

# **Results**

Table 1 shows the general characteristics of the studied sample. Selection of dopamine/ noradrenaline, serotonin and neuritic outgrowth genes yielded a total of 146 unique genes (Table 2). The dopamine/ noradrenaline and the serotonin pathways overlapped in 13 genes (251 SNPs). Four genes positioned on the X-chromosome (HTR2C, MAOA, MAOB and PPP2R3B) were not included in the analysis, one gene was not captured by the array used (PRKAR1B). The final data set contained 141 genes and 5,179 SNPs.

**Step 1**: The combined pathway analysis

for DSM-IV symptom counts showed a significant association with hyperactive/impulsive symptoms ( $p_{empirical} = 0.007$ ), but not with inattentive symptoms ( $p_{empirical} = 0.73$ ) (Table 3). Single gene and single SNP analyses did not reveal significant associations.

**Step 2**: Given the results of step 1, we tested symptom severity of hyperactiveity/impulsivity derived from the parental Conners scores with the three genetic pathways combined and observed a significant association (p<sub>empirical</sub>=0.0018). Post-hoc analyses showed that all pathways were independently associated with the hyperactivity/ impulsivity score (dopamine /noradrenaline  $p_{empirical} = 0.0004$ , serotonin  $p_{empirical} = 0.0149$ , neuritic outgrowth  $p_{empirical}$ = 0.0452) (Table 3). Single gene and single SNP analysis did not reveal significant associ-ations. For further information on single SNP results please contact the corresponding author directly. The combined pathways were not associated with hyperactive/impulsive scores on the teacher-rated Conners scale ( $p_{empirical} = 0.75$ ).

Table 4 shows a small to moderate correlation between hyperactive/impulsive and inattentive symptom counts. Hyperactive /impulsive symptom counts and Conners scores correlate moderately.

**Table 1.** Demographic characteristics of the studied individuals

	Value	N
Mean years of age (SD)	10.83 (2.78)	930
male %	87	930
Symptom count hyperactivity, median (SD)	8 (1.27)	871
Symptom count inattentiveness, median (SD)	8 (1.04)	871
Conners' parent hyperactive/impulsive, median (SD)	80 (10.15)	930
Conners' teacher hyperactive/impulsive, median (SD)	69.5 (12.16)	916

TABLE 2 Selection of Genes

Dopamine/Norepinephrine Pathway Genes				Serotonin Pathway Genes		Neuritic Outgrowth Genes							
ADCY1	ADRA1D	DRD5	PPP1R10	PPP2CA	PPP2R5C	PTS <sup>□</sup>	DDC°	HTR3D	SLC18A1°	ADAMTS17	EMP2	MAP1B	PPM1H
ADCY10	ADRA2A	GCH1°	PPP1R11	PPP2CB	PPP2R5D	QDPR°	GCH1°	HTR3E	SLC18A2°	ASTN2	FAM190A	MBOAT1	RORA
ADCY2	ADRA2B	IL411	PPP1R12A	PPP2R1A	PPP2R5E	SLC18A1°	HTRIA	HTR4	SLC18A3°	ATP2C2	FHIT	MEIS2	SLCO3/
ADCY3	ADRA2C	MAOAab	PPP1R14A	PPP2R1B	PRKACA	SLC18A2°	HTRIB	HTR5A	SLC6A4	BMPR1B	FLNC	MMP24	SPOCK
ADCY4	ADRB1	MAOB <sup>ab</sup>	PPP1R14B	PPP2R2A	PRKACB	SLC18A3°	HTR1D	HTR6	SMOX <sup>o</sup>	CDH13	GPC6	MOBP	SUPT3F
ADCY5	CALY	NCS1	PPP1R14C	PPP2R2B	PRKACG	SLC6A2	HTR1E	HTR7	SPR <sup>a</sup>	CDH23	HK1	MYTIL	TLL2
ADCY6	COMT	PCBD1 <sup>ob</sup>	PPP1R14D	PPP2R2C	PRKAG1	SLC6A3	HTR2A	IL411°	TPH 1	CREB5	HKDC1	NCKAP5	UGT1A
ADCY7	DDC°	PPM1.I	PPP1R1B	PPP2R3A	PRKAG2	SMOX <sup>a</sup>	HTR2B	MAOA <sup>ab</sup>	TPH2	CSMD2	ITGA11	NEDD4L	UNC5B
ADCY8	DRD1	PPM1L	PPP1R3A	PPP2R3Bb	PRKARIA	SPR°	HTR2C	MAOB <sup>ab</sup>		CTNNA2	KCNIP4	NOS1	ZNF42
ADCY9	DRD2	PPP1CA	PPP1R3C	PPP2R4	PRKAR1Bb	TH	HTR3A	PCBD1°		DNM1	KCP	NRXN1	
ADRA LA	DRD3	PPPICB	PPP1R3D	PPP2R5A	PRKAR2A		HTR3B	PTS°		DUSP1	LRP1B	NUCB1	
ADRA1B	DRD4	PPP1CC	PPP1R7	PPP2R5B	PRKAR2B		HTR3C	QDPR°		DYNC2H1	MAN2A2	NXPH1	

**Table 3.** Association results from the combined analysis of symptom counts and combined and separate analysis of 3 genetic pathways with hyperactive/impulsive (HI) symptom severity measured with the parent-rated Conners Scale (n=930).

	N SNPs	IA-symptom counts	HI-symptom counts	HI-symptom severity p-value
Combined analysis	5,179	0.73	0.007	0.0018
Dopamine/noradrenaline pathway	1,163ª	-	-	0.0004
Serotonin pathway	407ª	-	-	0.0149
Neuritic outgrowth genes	3,860	-	-	0.0452

<sup>&</sup>lt;sup>a</sup> 251 SNPs were present in the serotonin pathway and in the dopamine/noradrenaline pathway. Note: HI = hyperactive/impulsive; IA = inattentiveness; SNPs = single nucleotide polymorphisms

**Table 4.** Correlation analyses between the studied phenotypes. Correlation coefficients are shown with corresponding p-values in brackets.

	HI-Symptom counts	IA-Symptom counts	Conners-parent rated HI
IA Symptom counts	.182 (<.001)		
HI Conners parent score	.254 (<.001)	.087 (.008)	
HI Conners teacher score	.386 (<.001)	.111 (.001)	.238 (<.001)

Note: Correlation coefficients are shown with corresponding p values in parentheses. HI = hyperactive/impulsive; IA = inattentiveness

# Discussion

The present study is the first to show an association between dopamine/noradrenaline, serotonin pathways and neuritic outgrowth genes to the hyperactive/impulsive component of ADHD using hypothesis-based pathway association analysis using a case-only design. No association to the inattentive component was observed. Post-hoc analyses showed individual contribution of all 3 pathways. Single genes or SNPs did not show significant association, suggesting that the observed associations are the result of combined small effects of multiple genetic variants.

The concept of biological pathways has been investigated before in ADHD. Top results from GWAS studies and rare variants were investigated for overrepresentation in certain genetic biological pathways<sup>28,29</sup>. Findings have shown overlap suggesting convergence of both rare and common variants in the risk of ADHD. When Elia et al.<sup>50</sup> investigated rare variants only, they showed multiple genes carrying these variants belonging to the metabotropic glutamate receptor gene family. Another approach has been to use predefined genetic pathways as a starting point gene/variant selection and testing31,51-53. Oades et al.30 selected genes that were related to serotonin function and applied a family-based multivariate approach clustering the phenotypes, to increase their statistical power. The present study extends previous approaches by including all variants in the studied pathways, by investigating both ADHD symptom domains separately, and by increasing our power by joining single SNP effects. Although IMAGE was part of these prior analysis (13 of the 45 neuritic outgrowth genes were based on the single SNP effects in the IMAGE study), these 13 genes did not drive our results.

The present results suggest a link between genetic pathways candidate hyperactivity/impulsivity but not with inattentiveness. Symptom domain-specific genetic associations have previously been reported. Markunas et al.54 identified association between the SLC9A9 gene and hyperactive/impulsive Conners scores. Lasky-Su et al.55 found association between two variants within the dopamine receptor 4 gene (DRD4) and ADHD symptoms which was driven by the inattentive symptoms only. In a previous article, using the IMAGE sample, Lasky-Su et al.10 investigated domain-specific genetic associations using GWAS and reported nominal associations for variants within candidate genes included here. Also, in healthy twins, hyperactive/ impulsive symptoms have been associated to variants within the dopamine pathway<sup>56</sup>. Further, although the neuritic outgrowth network has not yet been linked to hyperactivity or impulsivity symptoms, it showed overrepresentation in the top results of a GWAS study studying motor coordination problems in ADHD<sup>57</sup> and some neuritic outgrowth genes studied here, in particular NOS158,59 and CTNNA260, have been associated to impulsivity.

One possible explanation for the lack of association with inattention may be related to a higher degree of phenotypic heterogeneity compared with hyperactivity/impulsivity. Therefore, the present sample might not have enough power to detect genetic effects. However, because the 2 symptom domains are highly heritable (hyperactive/impulsive 88%, inattentive 79%)<sup>61</sup> and standard deviations are similar (Table 1), different phenotypic heterogeneity is not expected. Alternatively genetic mechanisms other than those studied here might be involved in the inattention domain.

The present study analyzed symptom count and symptom severity which are part of the hyperactive/impulsive domain; however, there was only a moderate to small correlation between them. Symptom counts were created through a semi-structured diagnostic interview in combination with few items from ADHD rating scales, whereas the symptom severity measures were rated by parents or teachers. Therefore the authors expect them to capture different aspects of the disorder.

It should be noted that the selected pathways were associated with parental but not with teacher-rated hyperactivity/ impulsivity. Discrepancies between raters have been observed previously<sup>62-64</sup>, with correlations between mother and teacher ratings ranging from .23 to .4965,66 and significant differences in mean scores<sup>62</sup>. Low correlation values suggest them to capture different aspects of the disorder. Linkage analysis for parent-rated and teacher-rated Conners scores also showed rater-specific quantitative trait loci<sup>67</sup>. Informant differences can be attributed to several factors, like different standards and biases in reporting and scoring the symptoms<sup>63</sup>, or setting-specific behavior observed only by one rater<sup>68</sup>. Teacher ratings might be more prone to measurement error, as teachers need to divide their attention over multiple children and observe each individual for a limited amount of time while performing specific school-related activities. Parents might have more opportunities to observe their child in multiple daily life settings, but can be biased depending on having another child with similar behavior.

The present findings should be viewed in light of certain strengths and limitations. An important strength is the combination of multiple genetic variants in a well-characterized ADHD sample accounting for

small effect sizes and genetic heterogeneity in ADHD. A limitation is that the sample shows reduced power to find associations explaining 1% or less of the variance (http://pngu.mgh.harvard.edu/~purcell/gp c/qtlassoc.html) therefore replication in an independent sample is necessary. The authors were unable to define the direction of the effects, or whether directions were different for the different genes/pathways studied, which they acknowledge to be a limitation.

For pathway and gene selection the authors took a conservative approach, by only including genes selected using a well-established database or literature. Pathway selection remains difficult as the currently available databases are far from complete; therefore the authors feel they should be used as not more than a starting point for pathway analyses. Therefore interesting genes might have been missed. As we only included the most promising candidate pathways for ADHD, the authors might have missed others because new candidates are still emerging<sup>50</sup>.

Given the case-only design, the present results should be seen as moderating individual symptoms within the disorder, but not necessarily contributing to ADHD susceptibility. To investigate whether these pathways increase the susceptibility to ADHD, a case-control study should be performed. Also, it would be interesting to validate the current analysis in samples with an equal gender distribution, adult ADHD samples and population-based samples.

In conclusion, our results support the hypothesis that genes of the dopamine noradrenaline and serotonin neurotransmitter pathways as well as neuritic outgrowth genes are involved in ADHD through the hyperactive/impulsive

component but not the inattentive one. The present study shows that pathway-based association analyses in combination with more homogeneous phenotyping may overcome power problems in association testing by taking into account allelic heterogeneity.

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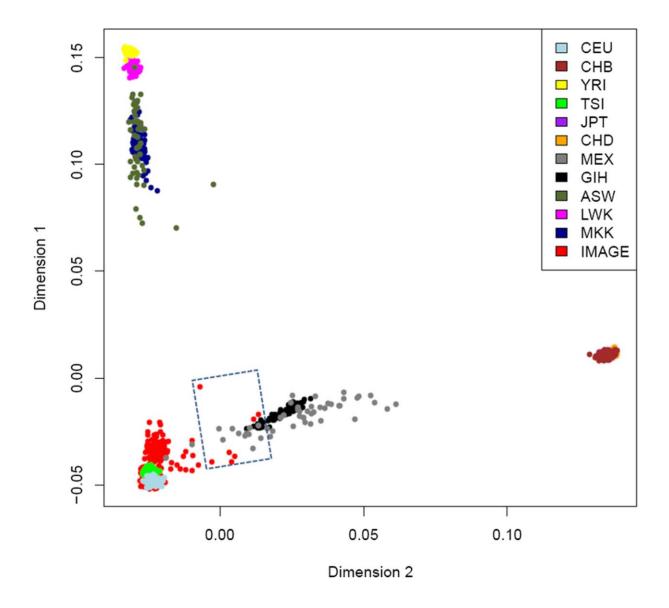
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# FIGURE S1

Multidimensional scaling (MDS) based on genetic distance. Note: The distribution of populations in the MDS plot provides information on the genetic similarities between the populations. The present sample (IMAGE) is represented in red. Based on the MDS plot, 7 individuals seem to deviate from the main population cluster (in the dotted square). To investigate possible confounding of the inclusion of these individuals, analyses were rerun excluding them. Exclusion of these individuals did not change the results. The common single nucleotide polymorphisms in the dopamine/norepinephrine and serotonin neurotransmission pathways and those in the neuritic outgrowth genes still showed an association with hyperactive/impulsive symptom counts (pempirical without outliers = .0115, pempirical all = .007). For the hyperactive/impulsive symptom severity measured with parental Conners scores, the same result was obtained (pempirical without outliers = .005, pempirical all = .0018). Note. Utah residents with Northern and Western European ancestry (CEU); Han Chinese in Beijing, China (CHB); Yoruba in Ibadan, Nigeria (YRI); Toscani in Italy (TSI); Japanese in Tokyo, Japan (JPT); Chinese in Denver, Colorado (CHD); Mexican ancestry in Los Angeles, California (MEX); Gujarati Indians in Houston, Texas (GIH); African ancestry in the Southwestern U.S. (ASW); Luhya in Webuye, Kenya (LWK); Masai in Kinyawa, Kenya (MKK).



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